

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Peter ADLER, et al.

SERIAL NO: 10/581,458

GAU: 1743

FILED: June 1, 2006

EXAMINER:

FOR: DEVICE AND PROCESS FOR THE DEPOSITION OF ULTRAFINE PARTICLES FROM THE GAS PHASE

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☐ The applicant(s) wish to make of record the reference(s) listed on the attached form PTO-1449. Copies of the listed reference(s) are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language reference(s).
- ☐ Online credit card payment is being made in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ Online credit card payment is being made in the amount required under 37 CFR §1.17(p).

CERTIFICATION

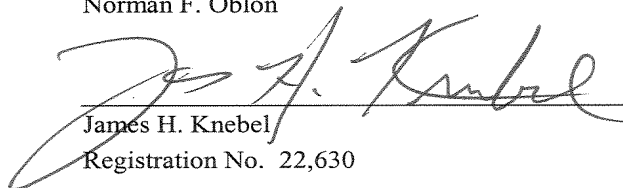
- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 286963US0PCT		SERIAL NO. 10/581,458	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Peter ADLER, et al.			
				FILING DATE June 1, 2006		GROUP 1743	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,866,721	02/02/99	HOFEN et al.			
	AB	US 6,423,859 B1	07/23/02	ALIG et al.			
	AC	US 2005/0014922 A1	01/20/05	MULLER et al.			
	AD	US 2005/0192460 A1	09/01/05	PASCALY et al.			
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

	Docket No.: 286963US0PCT	Serial No.: 10/581,458
LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56	Inventor: Peter ADLER, et al.	
	Filing Date: June 1, 2006	Group: 1743

LIST OF RELATED CASES

<u>Examiner Initial</u>	<u>Docket No.</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent App. Publication No.</u>	<u>Inventor or Applicant</u>
	PER CLIENT	5,866,721	02/02/99		HOFEN, et al.
	PER CLIENT	6,423,859	07/23/02		ALIG, et al.
	252723US6	10/891,134	07/15/04	US2005/0014922 A1	MUELLER, et al.
	286963US0PCT*	10/581,458	06/01/06		ADLER, et al.
	PER CLIENT	11/061,420	02/18/05	US2005/0192460 A1	PASCALY, et al.
	303116US0PCT	11/575,226	03/14/07		SONNENSCHN, et al.
	PER CLIENT	11/569,774	05/10/05		SONNENSCHN, et al.
	PER CLIENT	11/569,783	05/10/05		SONNENSCHN, et al.

Examiner

Date Considered

*Present Application; listed for information
NFO/sbs